

A

Patent  
238/298

08/12/99  
Jc690 U.S. PTO

To: Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Jc138 U.S. PTO  
09/374704  
08/12/99

NEW APPLICATION TRANSMITTAL  
UTILITY

Sir:

Transmitted herewith for filing is a **utility** patent application:

**Inventor(s):** Peter B. Dervan, et al.

**Title:** INHIBITION OF MAJOR GROOVE DNA BINDING PROTEINS BY  
MODIFIED POLYAMIDES

**I. PAPERS ENCLOSED HERewith FOR FILING UNDER 37 CFR § 1.53(b):**

39 Page(s) of Written Description

8 Page(s) Claims

1 Page(s) Abstract

19 Sheets of Drawings ☒ Informal ☐ Formal

**II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:**

☒ Declaration

☒ Power of Attorney: ☐ Separate or ☒ Combined with Declaration

☒ Assignment to California Institute of Technology and assignment cover sheet

☒ **3** Verified Statement establishing "Small Entity" under 37 CFR §§ 1.9 and 1.27

☐ Priority Document No(s): \_\_\_\_\_

SD-126116.1(37 C.F.R. § 1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL088594244US  
Express Mail Label No.

August 12, 1999  
Date of Deposit

TAMARA TURNER  
Name of Person Mailing Paper  
Tamara Turner  
Signature of Person Mailing Paper

- ☐ Information Disclosure Statement w/PTO 1449      ☐ Copy of Citations
- ☒ Preliminary Amendment
- ☒ Return Postcard

**III. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:**

<b>BASIC FILING FEE:</b>						<b>\$760.00</b>
Total Claims	26	-	20	=	6 x \$18.00	\$108.00
Independent Claims	8	-	3	=	5 x \$78.00	\$390.00
Multiple Dependent Claims	\$260	(if applicable)			<input type="checkbox"/>	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						<b>\$1,258.00</b>
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.						\$629.00
Misc. Filing Fees (Recordation of Assignment -- \$40)						\$40.00
<b>TOTAL FEES SUBMITTED HEREWITH</b>						<b>\$669.00</b>

**IV. METHOD OF PAYMENT OF FEES**

- ☒ A check in the amount of \$669.00.
- ☐ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \_\_\_\_\_.
- ☐ This application is being filed without fee or Declaration under 37 CFR § 1.53.

**V. AUTHORIZATION to CHARGE FEES**

The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for the following:

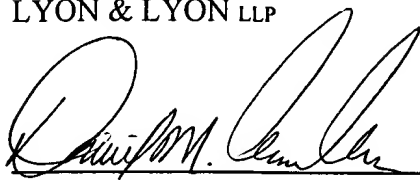
- ☒ 37 CFR § 1.16(a), (f) or (g) – **(Filing fees)**
- ☒ 37 CFR § 1.16(b), (c) and (d) – **(Presentation of Extra Claims)**
- ☒ 37 CFR § 1.16(e) – **(Surcharge for filing the basic filing fee and/or Declaration on a date later than the filing date of the application)**
- ☒ 37 CFR § 1.17 – **(Any Application processing fees)**

☒ Credit Lyon & Lyon's Deposit Account No. 12-2475 for overpayment of fees.

Respectfully submitted,

LYON & LYON LLP

Dated: 08/12/99

By:   
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